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J1054 U.S. PTO

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<b>Vidas, Arrett &amp; Steinkraus</b> <b>Utility Patent Application</b> <b>Transmittal</b>		Atty. Docket No		S63.2-10142	
		First Inventor		Austin	
		Title:		An Apparatus for Contracting or Crimping Stents	
		Express Mail Label No.		EL813908635US	
<b>Application Elements</b>		<b>Address To:</b>		Commissioner for Patents	
				Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form		Pages 2			
<input checked="" type="checkbox"/> Check Included					
2. <input type="checkbox"/> Applicant claims small entity status					
3. <input checked="" type="checkbox"/> Specification (including 0pg cover sheet, 14pg description, 4pg claims and 1pg abstract)		Pages 19			
4. <input checked="" type="checkbox"/> Drawings		Pages 15			
5. <input checked="" type="checkbox"/> Oath or Declaration		Pages 3			
a. <input type="checkbox"/> Newly executed (original or copy)					
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)					
i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application		Pages			
6. <input checked="" type="checkbox"/> Application Data Sheet		Pages 1			
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check)		Pages 0			
<input checked="" type="checkbox"/> Previously recorded on 9/22/99, Reel 010271, Frames 0062-0063					
8. <input type="checkbox"/> Power of Attorney		Pages			
<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)		Pages			
9. <input type="checkbox"/> English Translation Document		Pages			
10. <input type="checkbox"/> Information Disclosure Statement		Pages			
<input type="checkbox"/> Copies of Citations ( references)					
11. <input checked="" type="checkbox"/> Preliminary Amendment		Pages 6			
12. <input checked="" type="checkbox"/> Return Receipt Postcard		Pages 1			
13. <input type="checkbox"/> Certified Copy of Priority Document		Pages			
14. <input type="checkbox"/> Nonpublication Request		Pages			
15. <input checked="" type="checkbox"/> Constructive Petition		Pages 1			
16. <input checked="" type="checkbox"/> Limited Authorization		Pages 1			
17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal		Pages 1			
18. <input type="checkbox"/> Other		Pages			
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet					
<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part    Of prior application no. 09/401,218					
Prior Application Information: Examiner Marc Jimenez Group Art Unit 3726					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.					
20. TOTAL NUMBER OF PAGES <del>49</del> 50					
21. CORRESPONDENCE ADDRESS					
					
00490					
PATENT TRADEMARK OFFICE					
INSERT CUSTOMER NUMBER LABEL ABOVE					
Name		Jonathan Grad, Reg. No. 41,795			
Signature				Date 9/28/2001	

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Austin
Examiner Name	Marc Jimenez
Group Art Unit	3726
Attorney Docket No.	S63.2-10142

TOTAL AMOUNT OF PAYMENT (\$710.00)

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees to:  
Deposit Account Number 22-0350  
Deposit Account Name Vidas, Arrett & Steinkraus

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350  
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	---
107	490	207	245	Plant filing fee	---
108	710	208	355	Reissue filing fee	---
114	150	214	75	Provisional filing fee	---

SUBTOTAL (1) \$ 710.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15 - 20** = 0 X	\$18.00	= 0.00	
Independent			
Claims 2 - 3** = 0 X	\$80.00	= 0.00	
Multiple Dependent	---	= 0.00	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	---
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	---
139	130	139	130	Non-English specification	---
147	2,520	147	2,520	For filing a request for ex parte reexamination	---
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	---
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	---
115	110	215	55	Extension for reply within first month	---
116	390	216	195	Extension for reply within second month	---
117	890	217	445	Extension for reply within third month	---
118	1,390	218	695	Extension for reply within fourth month	---
128	1,890	228	945	Extension for reply within fifth month	---
119	310	219	155	Notice of Appeal	---
120	310	220	155	Filing of Appeal	---
121	270	221	135	Request for oral hearing	---
138	1,510	138	1,510	Petition to institute a public use proceeding	---
140	110	240	55	Petition to revive - unavoidable	---
141	1,240	241	620	Petition to revive - unintentional	---
142	1,240	242	620	Utility issue fee (or reissue)	---
143	440	243	220	Design issue fee	---
144	600	244	300	Plant issue fee	---
122	130	122	130	Petitions to the Commissioner	---
123	50	123	50	Processing fee under 37 CFR 1.17(q)	---
126	180	126	180	Submission of Information Disclosure Stmt	---
581	40	581	40	Recording each patent assignment per property (times number of properties)	---
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	---
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	---
179	710	279	355	Request for Continued Examination (RCE)	---
169	900	169	900	Request for expedited examination of a design application	---

Other Fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

(\$0.00)

Jonathan Grad, Reg. No. 41,795

Signature

Telephone 952-563-3000

Date 9/28/2001

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